

## 債券/基金服務收費表

### 債券服務收費

交易 (買入/賣出) 手續費	債券票面之 0.15% 起 每單最低 50 美元或等值貨幣，以較高者計算 不多於債券票面之 5% (財富管理顧問理財帳戶之交易費率由客戶及其財富管理顧問共同釐定)
平臺服務費	債券票面之 0.1%
託管費	每年為債券票面之 0.06%，按月收取一次
債券轉出指示 (轉倉)	每宗債券市價之 0.25% 每隻債券最低 100 美元或等值貨幣，以較高者計算
代收利息及贖回到期債券	免費
包管費	首次及年度包管費之費率由客戶及其財富管理顧問共同釐定 當中已包含處理指示費 [每次認購/贖回投資面之 0.2%，最低 50 美元或等值之貨幣，以較高者為準，主權債券最低 10 美元] (適用於財富管理顧問理財帳戶)

### 適用於港交所《主板上市規則》第三十七章上市債券的交易

交易徵費 (證監交易徵費)	成交金額之 0.0027%
交易徵費 (財匯局徵費)	成交金額之 0.00015%

### 互惠基金服務收費

認購費	0.15% 起 不多於認購金額之 5% (財富管理顧問理財帳戶之認購費率由客戶及其財富管理顧問共同釐定)
贖回費	無 <sup>^</sup> (惟個別基金公司設有贖回費，並以認購之年期計算，詳情以有關的基金說明書為準)
年度管理費	以基金說明書為準 (於基金淨值反映)
基金轉出指示(轉倉)	每宗基金市價之 0.25% 每隻基金最低 100 美元或等值貨幣，以較高者計算
平臺服務費	按管理資產規模 (AUM) 以分層計算階段式收費： 0 - 3,499,999 港元為 0.3% 3,500,000 - 14,999,999 港元為 0.2% 15,000,000 港元為 0.1% (適用於財富管理顧問理財帳戶)
包管費	首次及年度包管費之費率由客戶及其財富管理顧問共同釐定 當中已包含處理指示費用 (適用於財富管理顧問理財帳戶)

[註] 個別基金公司設有最低認購/贖回金額

#### 重要：

非港幣結算產品收費將以相關結算貨幣計算及支付，客戶需存入相關結算貨幣之金額，如需本公司作貨幣兌換交收，匯率將以本公司所定為準，並代客戶支付予有關機構。本公司有權更改以上利率及收費而毋須另行通知，並保留最終決定權。如有疑問，請致電本行 (852) 3150 7728 查詢。

二零二五年二月

## BONDS AND UNIT TRUST SERVICE CHARGES TABLE

### Bond Service Charges

Transaction (Buy/Sell) Handling Fee	From 0.15% of the debt securities' nominal value Minimum US\$50 per order or in equivalent currency, whichever is higher Up to 5% of the debt securities' nominal value (For Wealth Advisor Management Account, the transaction handling fee is the agreed rate between the Client and the Client's Wealth Advisor)
Ongoing Platform Fee	0.1% of all the debt securities' nominal value
Custodian Fee	0.06% of debt securities' face value per annum, charged monthly
Bond Transfer Out	0.25% of Bond's market value per transaction Minimum charge USD100 or in equivalent currency, whichever is higher
Interest Collection & Maturity Redemption Fee	Waived
Wrap Fee	Upfront and Ongoing Wrap Fee are the rates agreed between the Client and the Client's Wealth Advisor Inclusive of processing fee [0.2% of Nominal Value for each buy/sell order, minimum charge USD50 or in its equivalent currency, whichever is higher, 0.1% minimum charge for sovereign bond] (Applicable to Wealth Advisor Management Account)

### Applicable to Transaction of Bonds Listed under Chapter 37 of the HKEX Main Board Listing Rules

Transaction Levy (SFC Transaction levy)	0.0027% of Transaction Amount
Transaction Levy (FRC Transaction levy)	0.00015 of Transaction Amount

### Mutual Fund Service Charges

Subscription Fee	0.15% Up Up to 5% of subscription amount (For Wealth Advisor Management Account, the subscription fee is the agreed rate between the Client and the Client's Wealth Advisor)
Redemption Fee	Nil (Note that certain fund houses may impose redemption fees based on the subscription period. Refer to the relevant fund prospectus for details)
Annual Management Fee	Refer to the relevant fund prospectus for details (As reflected in the fund's net value)
Mutual Fund Transfer Out	0.25% of the mutual fund's market value per transaction Minimum charge USD100 or in equivalent currency, whichever is higher
Ongoing Platform Fee	Tiers based charge on total Assets Under Management (AUM): HKD 0 - 3,499,999 at 0.3% HKD 3,500,000 - 14,999,999 at 0.2% HKD 15,000,000 at 0.1% (Applicable to Wealth Advisor Management Account)
Wrap Fee	Upfront and Ongoing Wrap Fee are the rates agreed between the Client and the Client's Wealth Advisor Inclusive of Processing Fee (Applicable to Wealth Advisor Management Account)

[Note] Certain fund houses possess a requirement on minimum Subscription/Redemption Amount.

### IMPORTANT:

For Non-HK Currency based products, charges will be calculated and paid based on the settlement currency. Clients should deposit the relevant amount of fund in corresponding settlement currency. If the Company is requested to handle the money exchange for the settlement, all relevant fund will be calculated at the exchange rate determined by the Company. All of the above rates and charges are subjected to change and at the Company's sole discretion without prior notice. For further inquiries, please contact us at (852) 3150 7728.

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